



**CORPORATE APPLICATION**

Attn: \_\_\_\_\_

**FAX TO: 44 (0) 20 7398 5710**

**ALL SECTIONS MUST BE COMPLETED IN FULL. Thank you for your cooperation.**

**Part I – GENERAL INFORMATION**

1. Corporate Name: \_\_\_\_\_
2. Trade Name: \_\_\_\_\_ VAT #: \_\_\_\_\_
3. Date of Incorporation: \_\_\_\_\_ Reg. #: \_\_\_\_\_
4. Where Incorporated: \_\_\_\_\_
5. Street Address: \_\_\_\_\_
6. City/Town: \_\_\_\_\_ County: \_\_\_\_\_ Country: \_\_\_\_\_
7. Postal Code: \_\_\_\_\_ Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_
8. E-mail: \_\_\_\_\_ Corporate Web Site: \_\_\_\_\_
9. Type of Business: (Please specify Product or Service): \_\_\_\_\_  
\_\_\_\_\_
10. Annual Volume of Currency Trading: \_\_\_\_\_ Currencies Traded: \_\_\_\_\_
11. How did you hear about Cambridge? Internet  Referral  by: \_\_\_\_\_ Other  : \_\_\_\_\_

**Part II – CORPORATE INFORMATION**

1. Full Names of All Current Directors\*\*: \_\_\_\_\_  
\_\_\_\_\_
2. Officers: CEO: \_\_\_\_\_ Deputy to CEO: \_\_\_\_\_  
Secretary: \_\_\_\_\_ CFO/Controller: \_\_\_\_\_  
Other: (Name & Title) \_\_\_\_\_

\*\* Under standard corporation law, all corporations must have at least one Director; the directors appoint the officers and are ultimately responsible for management of the affairs of the corporation.

**Part III – BUSINESS REFERENCES**

1. Company Name: \_\_\_\_\_ Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ County: \_\_\_\_\_ Postal Code: \_\_\_\_\_  
Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Years Doing Business Together: \_\_\_\_\_  
Type of Business: \_\_\_\_\_
2. Company Name: \_\_\_\_\_ Street Address: \_\_\_\_\_  
City: \_\_\_\_\_ County: \_\_\_\_\_ Postal Code: \_\_\_\_\_  
Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_  
Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Years Doing Business Together: \_\_\_\_\_  
Type of Business: \_\_\_\_\_

**Part IV – PRIMARY BANKING INFORMATION**

1. **Name of Bank:** \_\_\_\_\_
2. **Address of Business Branch:** \_\_\_\_\_
3. **City:** \_\_\_\_\_ **County:** \_\_\_\_\_ **Country:** \_\_\_\_\_ **Postal Code:** \_\_\_\_\_
4. **Telephone:** \_\_\_\_\_ **Account Number** \_\_\_\_\_
5. **Name of Business Account Manager:** \_\_\_\_\_ **Title:** \_\_\_\_\_
6. **Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_ **E-mail:** \_\_\_\_\_

**PART V - ACCOUNT OPERATION**

1. **Account Opening:** The Corporation authorizes the opening of an account with Cambridge Mercantile Corp. (UK) Ltd. and/or Cambridge Mercantile Corp. (referred to collectively as "CMC") for foreign currency exchange and/or global payment services.
2. **Authorized Transaction Representatives:** The following persons (*name and title*) are authorized to book deals with, negotiate and conclude the terms of such deals, and to instruct CMC to transact foreign exchange or global payments transactions for the Corporation under its account with CMC:  
**Primary Contact Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_  
**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_ **E-mail:** \_\_\_\_\_  
**Secondary Contact Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_  
**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_ **E-mail:** \_\_\_\_\_
3. **Order Process:** The corporation, by its signature of this Agreement, authorizes Cambridge to accept and rely on all orders and instructions, whether oral or written, from anyone that Cambridge reasonably believes to be Client's authorized representative, and **agrees that Contracts will be entered into through binding oral agreements concluded over the telephone by their authorized representatives. *The contract for each transaction arises upon the original verbal request/order, and acceptance/booking ("Deal Date"); return of the signed Deal Confirmation form merely represents written confirmation of the contract already made.***

**PART VI - AML COMPLIANCE - PRIVACY**

**As a currency exchange and money services business, Cambridge Mercantile is required by law to have on file your Articles/Certificate of Incorporation, and a list of names of all of the directors. We also require your senior management's authorization of your staff to transact business with us; fill out Part VII below, and/or complete and return our standard form Resolution). As soon as possible after opening your account, please send to us electronically, or by facsimile, or by mail, certified copies of those mandated documents in hardcopy or electronic form.**

**Privacy: We obtain such information only to carry out our services and for compliance reasons. We do not normally provide this information to unaffiliated third parties. See our attached Privacy Notice.**

**PART VII - AUTHORIZATIONS AND CERTIFICATIONS**

The corporation hereby authorizes any of those individuals/companies listed in Part III and/or Part IV to provide Cambridge Mercantile Corporation, or its representatives, with information necessary to assist us in our dealings with Cambridge.

The corporation certifies that it is acting, as principal, for and on its own behalf and not on behalf of any third party.

The corporation certifies that the information set out in this application is correct and complete.

**Corporate Name:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**CEO's Signature (required):** \_\_\_\_\_ **Chairman's Signature (optional):** \_\_\_\_\_

**Signature of Corp. Secretary / Deputy to CEO / CFO (optional):** \_\_\_\_\_

WWW.CAMBRIDGE  CO.UK



**CAMBRIDGE  
MERCANTILE CORP.  
(UK) LIMITED**

## **Privacy Policy Notice**

**(Pursuant to The Privacy Act and the Data Protection Act (UK) )**

**(Pursuant to FTC Final Rule on Privacy of Consumer Financial Information, the Fair Credit Reporting Act and the Gramm-Leach-Bliley Act)**

**&**

**(Pursuant to the Personal Information Protection and Electronic Documents Act, Canada (PIPEDA) )**

We collect nonpublic personal information about you from the following sources:

- information we receive from you on applications or other forms;
- information about your transactions with us, our affiliates, or others; and
- information we may receive from a consumer or credit reporting agency.

We may disclose nonpublic personal information about you only to the following types of third parties:

- financial service providers, such as bankers, in order to carry out required institutional risk control, and/or to carry out our services to you that you have requested;
- computer services consultants and technicians or other security and compliance consultants in order to ensure the protection of the confidentiality and security of your records; and
- financial services providers to protect against or prevent actual or potential fraud, unauthorized transactions, claims, or other liability.

We may also disclose nonpublic personal information about you to nonaffiliated third parties as permitted or required by law.

We restrict access to nonpublic personal information about you to those employees who need to know that information to provide products or services to you. In the event that we must disclose such information to financial service providers, computer services consultants or technicians or other security and compliance consultants, we do so only under strict confidentiality and non-disclosure restrictions set out in a written undertaking that such consultant(s) are required to sign in advance.

We maintain physical, electronic, and procedural safeguards that comply with all laws to guard your nonpublic personal information.

**CAMBRIDGE MERCANTILE CORP. (UK) LIMITED  
CAMBRIDGE MERCANTILE CORP.  
CAMBRIDGE MERCANTILE CORP. (U.S.A.)**

per: \_\_\_\_\_  
Jacques Feldman, President

per: \_\_\_\_\_  
Bernard Heitner, CEO, Sec-Treas.

per: \_\_\_\_\_  
Kenneth H. Saul, Privacy Officer  
*General Counsel, Senior Regulatory & Compliance Officer*